

Formalizing higher Cartan geometry in modal homotopy type theory

Urs Schreiber ¹

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talk at

Homotopy Type Theory in Logic, Metaphysics and Physics
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but many other flavors of geometry play a role:

- ▶ symplectic geometry – phase spaces
- ▶ conformal geometry – e.g. 2d critical phenomena, RNS strings, gauge theories on solitonic branes
- ▶ complex geometry – complex polarized phase spaces, CY-compactifications

All flavors of geometry are unified by *Cartan geometry*.

Which we survey in a moment.

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U. Schreiber, *Differential cohomology in a cohesive topos*

ncatlab.org/schreiber/show/differential+cohomology+in+a+cohesive+topos

shows that Cartan geometry (and much more) has a useful synthetic axiomatization in differentially cohesive ∞ -topoi.

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U. Schreiber,
Some thoughts on the future of modal homotopy type theory,
talk at German Mathematical Society meeting 2015

ncatlab.org/schreiber/show/Some+thoughts+on+the+future+of+modal+homotopy+type+theory

poses the problem of formalizing synthetic Cartan geometry in a homotopy type theory proof checker, such as HoTT-Agda.

Such a synthetic formalization of Cartan geometry
in HoTT with a modal operator
has now been obtained:



Felix Wellen,

Formalizing Cartan geometry in modal homotopy type theory

PhD thesis, in preparation

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Here I give motivation and introduction.

Felix Wellen in his talk will discuss details of the implementation in modal HoTT.

First some informal survey.

Best to speak synthetic differential geometry right away.

Let X be a smooth space. For

every $k \in \mathbb{N} \cup \{\infty\}$

and every point $x \in X$

there is its *kth order infinitesimal neighbourhood*

$$\mathbb{D}_x^{(k)} \hookrightarrow X.$$

If $X = \mathbb{R}^d$,

then $\mathbb{D}_x^{(k)}$ is characterized by the fact that

smooth functions on $\mathbb{D}_x^{(k)}$ are equivalent to

Taylor expansions at x to order k of smooth functions on \mathbb{R}^d .

This exists for instance in the “Cahiers topos” (Dubuc '79)
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as well as in the Cahiers ∞ -topos \mathbf{H} :

If you care about the details: Let

$$\text{SuperFormalSmoothCartSp} \hookrightarrow \text{sCAlg}_{\mathbb{R}}^{\text{op}}$$
$$\mathbb{R}^n \times \mathbb{D} \mapsto \mathcal{C}^\infty(\mathbb{R}^n) \otimes_{\mathbb{R}} \left(\mathbb{R} \oplus \left\{ \begin{array}{l} \text{fin. dim.} \\ \text{nilpotent} \end{array} \right\} \right)$$

be the site of Cartesian spaces with infinitesimal thickening and smooth functions between them. (See Wellen’s talk for details.)

Then

$$\mathbf{H} \simeq \left\{ \begin{array}{l} \text{simplicial presheaves} \\ \text{on } \text{SuperFormalSmoothCartSp} \end{array} \right\} \left[\begin{array}{l} \text{local weak} \\ \text{homotopy equivalences} \end{array} \right]^{-1}$$

Informally,
a *smooth manifold* X is
something that locally looks like \mathbb{R}^d ,
glued by smooth functions.

The *tangent bundle* of a smooth manifold
is over each point x
the first order infinitesimal neighbourhood $\mathbb{D}_x^{(1)}$,
regarded as a vector space.

As one passes from one chart $U_i \simeq \mathbb{R}^d$ to the next via some gluing function f , then these infinitesimal neighbourhoods transform as

$$df : \mathbb{D}_x^{(1)} \xrightarrow{\simeq} \mathbb{D}_{f(x)}^{(1)}$$

hence under the group

$$\text{Aut}(\mathbb{D}_x^{(1)}) \simeq \text{GL}(d).$$

One says that the tangent bundle is associated to a $\text{GL}(d)$ -principal bundle, the *frame bundle*.

We may require that
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Examples:

$G = O(d - 1, 1)$	pseudo-Riemannian metric (gravity)
$G = \mathrm{SO}(d - 1, 1)$	metric and orientation
$G = \mathrm{SO}(d, 2)$	conformal structure
$\mathrm{GL}(d, \mathbb{C}) \hookrightarrow \mathrm{GL}(2d, \mathbb{R})$	almost complex structure
$\mathrm{U}(d) \hookrightarrow \mathrm{GL}(2d, \mathbb{R})$	almost Hermitian structure
$\mathrm{Sp}(d) \hookrightarrow \mathrm{GL}(2d, \mathbb{R})$	almost symplectic structure
\vdots	\vdots

Here is how it works in components:

Reduction of structure group $O(d) \hookrightarrow GL(d)$ is locally exhibited by d differential 1-forms

$$E^a = \sum_{\mu=1}^d E_{\mu}^a dx^{\mu} \quad a \in \{1, \dots, d\}$$

which identify the tangent space at any point with the model space \mathbb{R}^d .

(“vielbein”, “soldering form”)

The model space \mathbb{R}^d carries a canonical metric η , the Minkowski metric. The induced metric on X is

$$ds^2 = \sum_{a,b=1}^d \eta_{ab} E^a E^b .$$

Since the tangent bundle of \mathbb{R}^d is trivialized by translation the local model space \mathbb{R}^d carries a canonical G -structure for every choice of $G \hookrightarrow \mathrm{GL}(d)$.

Say that a G -structure on X is *flat of order k* if restricted to every $\mathbb{D}_x^{(k)}$ ($x \in X$) it is equivalent to this canonical G -structure.

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Flatness to first order is equivalently *vanishing torsion*.



V. Guillemin, *The integrability problem for G -structures*,
Trans. Amer. Math. Soc. 116 (1965)



J. Lott, *The Geometry of Supergravity Torsion Constraints*,
Comm. Math. Phys. 133 (1990) [arXiv:0108125](https://arxiv.org/abs/0108125)

Examples of torsion free G -structures:

$G = \text{GL}(d, \mathbb{C})$	complex structure
$G = \text{U}(d)$	Hermitian structure
$G = \text{Sp}(d)$	symplectic structure
$G = \text{O}(d - 1, 1)$	pseudo-Riemannian metric (gravity)

every orthogonal structure has vanishing intrinsic torsion

\Leftrightarrow

for every metric there exists a torsion free metric connection
(the “Levi-Civita connection”).

This is Einstein’s **principle of equivalence**:

For X a spacetime with gravity,

then every $\mathbb{D}_x^{(1)}$ looks like Minkowski spacetime.

Here is how this works in components.

The model space \mathbb{R}^d carries a canonical vielbein $e^a = dx^a$. This has the special property that it is translation invariant

$$de^a = 0$$

But E^a on X is only defined up to Lorentz transformation in $O(d-1, 1)$. Hence instead of asking for dE^a , we need to ask for the covariant derivative

$$\tau^a = dE^a + \sum_{b=1}^d \Omega^a{}_b \wedge E^b$$

for *some* 1-forms $\Omega^a{}_b$ that send tangent vectors to infinitesimal Lorentz transformations.

The *intrinsic* torsion is τ^a modulo terms of the form $\Delta\Omega^a{}_b \wedge E^b$.

generalization 1: Super-Cartan geometry

supergravity is Cartan geometry

for local model space a super-translation group $\mathbb{R}^{d-1,1|N}$

and reduction along $\text{Spin}(d-1, 1) \hookrightarrow \text{GL}(d-1, 1|N)$

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A miracle happens:

the Einstein equations in 11-dimensions

are already equivalent to

torsion-free $\text{Spin}(10, 1) \hookrightarrow \text{GL}(10, 1|N)$ -structure.



A. Candiello, K. Lechner, *Duality in Supergravity Theories*,
Nucl.Phys. B412 (1994) 479-501

[arXiv:hep-th/9309143](https://arxiv.org/abs/hep-th/9309143)



P. Howe, *Weyl Superspace*,
Physics Letters B Volume 415, Issue 2 (1997)

[arXiv:hep-th/9707184](https://arxiv.org/abs/hep-th/9707184)

generalization 2: Higher Cartan geometry

11d Supergravity with M-brane effects included (“M-theory”), is a hypothetical candidate for a “theory of everything” in physics.

physics jargon: “M5-brane moves in condensate of M2-branes”

mathematically: spacetime becomes a higher Cartan geometry modeled on a homotopy 3-type extension of $\mathbb{R}^{10,1}$ ³².
(stacky spacetime, higher Cartan geometry)

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(stacky spacetime, higher Cartan geometry)



D. Fiorenza, H. Sati, U. Schreiber,
Super Lie n-algebra extensions, higher WZW models and super p-branes with tensor multiplet fields

International Journal of Geometric Methods in Modern Physics
Volume 12, Issue 02 (2015) 1550018 [arXiv:1308.5264](https://arxiv.org/abs/1308.5264)



H. Sati, U. Schreiber, *Lie n-algebras of BPS charges*
ncatlab.org/schreiber/show/Lie+n-algebras+of+BPS+charges

Now some words
on the synthetic axiomatization of (higher, super) Cartan geometry,
that Felix Wellen has now implemented in Hott-Agda.

following



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For every smooth space $X \in \mathbf{H}$
 there is a smooth space $\mathfrak{S}^{(k)}X$ obtained from X
 by contracting all $\mathbb{D}_x^{(k)}$ to a point.

Hence there is a canonical projection

$$\eta : X \longrightarrow \mathfrak{S}^{(k)}X$$

its fiber is $\mathbb{D}_x^{(k)}$

$$\begin{array}{ccc}
 \mathbb{D}_x^{(k)} & \longrightarrow & X \\
 \downarrow & & \downarrow \eta \\
 * & \xrightarrow{x} & \mathfrak{S}^{(k)}X
 \end{array}$$

$\mathfrak{S}^{(\infty)}X$ is also called the *de Rham space* or *de Rham stack* of X .

Proposition:

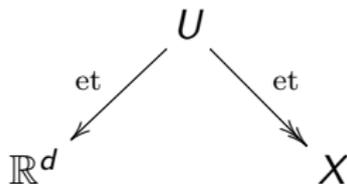
A map between smooth manifolds $f : X \rightarrow Y$ is local diffeomorphism precisely if its \mathfrak{S} -naturality square

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & \mathfrak{S}X \\ f \downarrow & & \downarrow \mathfrak{S}f \\ Y & \xrightarrow{\eta_Y} & \mathfrak{S}Y \end{array}$$

is Cartesian (is a pullback square)

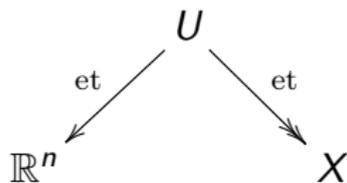
Generally, say that a map f with this property is *formally étale*.

Hence the atlas $U = \sqcup_i \mathbb{R}^d$ of a manifold X yields a diagram



Generally, the X in such a diagram are *étale* ∞ -stacks, e.g. *orbifolds*.

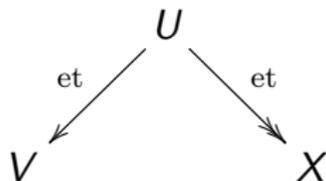
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Definition:

For V a group object in \mathbf{H} , say that a V -manifold or V -scheme is an $X \in \mathbf{H}$ such that there exists a diagram



Idea of synthetic axiomatization:

Relevant properties of V -manifolds follow *formally* from the fact that \mathfrak{S} is an idempotent monad.

Hence Cartan geometry makes sense in every ∞ -topos equipped with an idempotent ∞ -monad interpreted as \mathfrak{S} .

A V -manifold is whatever \mathfrak{S} thinks it is.

	analytic	synthetic
axiomatize:	constitutents	properties

Regarding homotopy type theory as the internal language of ∞ -toposes then assuming the existence of an ∞ -monad \mathfrak{S} corresponds to adding a *modal operator*.

Theorem:

1. The infinitesimal disk bundle on any ∞ -group V is trivialized by left translation.
2. For every V -manifold X its infinitesimal disk bundle is a locally trivial \mathbb{D} -fiber bundle, for $\mathbb{D} = \mathbb{D}_e^V$, associated to an $\mathbf{Aut}(\mathbb{D})$ -principal bundle (its *frame bundle*) classified by a map

$$\tau_X : X \longrightarrow \mathbf{BAut}(\mathbb{D})$$

Proof: Formalized in HoTT-Agda by



Felix Wellen,

Formalizing higher Cartan geometry in modal HoTT

PhD thesis, github.com/felixwellen/DCHoTT-Agda

Details are presented in Felix Wellen's talk at this meeting.

This theorem establishes the fundamental ingredient of Cartan geometry: frame bundles of V -manifolds.

It is now straightforward to axiomatize the geometric concepts

- ▶ G -structure;
- ▶ torsion-freeness

etc.

We briefly state this now:

Definition:

Let

$$\phi : G \rightarrow \mathbf{Aut}(\mathbb{D})$$

be any group homomorphism, hence

$$\mathbf{B}\phi : \mathbf{B}G \rightarrow \mathbf{BAut}(\mathbb{D})$$

any map.

Then a G -structure on a V -manifold is a lift

A commutative diagram illustrating a lift. At the top left is the space X . At the top right is the classifying space $\mathbf{B}G$. At the bottom center is the classifying space $\mathbf{BAut}(\mathbb{D})$. A dashed arrow points from X to $\mathbf{B}G$. A solid arrow points from X to $\mathbf{BAut}(\mathbb{D})$, labeled τ_X . A solid arrow points from $\mathbf{B}G$ to $\mathbf{BAut}(\mathbb{D})$, labeled $\mathbf{B}\phi$. A double-lined arrow points from the dashed arrow to the solid arrow $\mathbf{B}\phi$, labeled g .

Observation:

On V there is a canonical G -structure

$$\begin{array}{ccccc} V & \longrightarrow & * & \longrightarrow & \mathbf{B}G \\ & \searrow & \downarrow & \swarrow & \searrow \\ & & \mathbf{BAut}(\mathbb{D}) & & \end{array}$$

The diagram shows a commutative square. The top-left node is V , the top-middle node is $*$, and the top-right node is $\mathbf{B}G$. The bottom node is $\mathbf{BAut}(\mathbb{D})$. Arrows connect V to $*$, V to $\mathbf{BAut}(\mathbb{D})$, $*$ to $\mathbf{BAut}(\mathbb{D})$, and $\mathbf{B}G$ to $\mathbf{BAut}(\mathbb{D})$. A diagonal arrow labeled g_0 points from $*$ to $\mathbf{B}G$.

where the left square exhibits
the left translation trivialization
of τ_V by the previous theorem

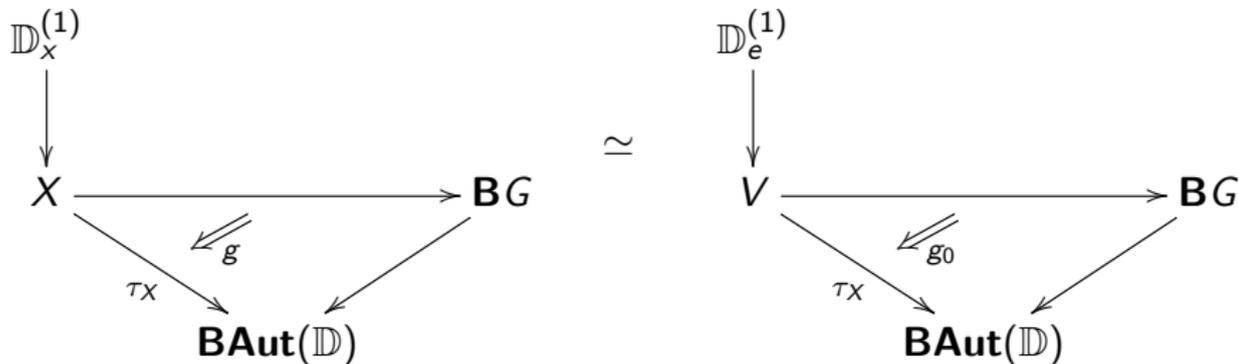
Definition:

A G -structure on a V -manifold X

is *torsion free*

if on every first order infinitesimal neighbourhood $\mathbb{D}^{(1)}$

it coincides with the canonical G -structure on V :



(remember: this is really Einstein's principle of equivalence)

One may similarly formalize much more, for instance

- ▶ fundamental theorem of calculus,
- ▶ Noether's theorem

by postulating a system of adjoint modalities

(“differential cohesion”)

$$\begin{array}{ccccccc} \text{id} & \dashv & \text{id} & & & & \\ \downarrow & & \downarrow & & & & \\ \Rightarrow & \dashv & \rightsquigarrow & \dashv & \text{Rh} & & \\ & & \downarrow & & \downarrow & & \\ & & \mathfrak{R} & \dashv & \mathfrak{S} & \dashv & \text{Et} \\ & & & & \downarrow & & \downarrow \\ & & & & f & \dashv & b & \dashv & \# \\ & & & & & & \downarrow & & \downarrow \\ & & & & & & \emptyset & \dashv & * \end{array}$$

So much for today.

For more exposition see



U. Schreiber,

Higher prequantum geometry

in G. Catren and M. Anel (eds.)

New Spaces in Mathematics and Physics

[arXiv:1601.05956](https://arxiv.org/abs/1601.05956)

These slides with background material are kept online at

ncatlab.org/schreiber/show/Formalizing+Cartan+Geometry+in+Modal+HoTT