

# THE SPHERE SPECTRUM

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Following J.H.C. Whitehead [14] and Lima [7], a *sequential spectrum*  $E$  is a sequence of based topological spaces (or simplicial sets)  $E_n$  and structure maps  $\sigma_n: \Sigma E_n \rightarrow E_{n+1}$ , for all  $n \geq 0$ . Here the suspension  $\Sigma E_n = S^1 \wedge E_n$  equals the smash product with the based topological (or simplicial) circle  $S^1$ . The *sphere spectrum*  $\mathbb{S}$  is the most basic example: its  $n$ -th space is the  $n$ -sphere  $S^n = S^1 \wedge \cdots \wedge S^1$  and its structure maps are the resulting homeomorphisms  $\sigma_n: \Sigma S^n \rightarrow S^{n+1}$ .

The  $k$ -th *homotopy group*  $\pi_k(E)$  is the colimit over  $n$  of the (unstable) homotopy groups  $\pi_{k+n}(E_n)$ . As  $k$  varies, the  $\pi_k(E)$  assemble to a graded abelian group  $\pi_*(E)$ . In the case of the sphere spectrum,  $\pi_k(\mathbb{S})$  is the colimit of the homotopy groups of spheres  $\pi_{k+n}(S^n)$ , i.e., of the homotopy classes of based maps  $S^{k+n} \rightarrow S^n$ . By the Freudenthal suspension theorem [5] the homomorphisms in this colimit are isomorphisms for  $n \geq k + 2$ , and the common limiting value  $\pi_k(\mathbb{S})$  is known as the  $k$ -th *stable homotopy group of spheres*.

The generalized homology theory  $\pi_*^S(X) = \pi_*(\mathbb{S} \wedge X)$  and the generalized cohomology theory  $\pi_S^{-*}(X) = \pi_* \text{Map}(X, \mathbb{S})$  associated to the sphere spectrum are called *stable homotopy* and *stable cohomotopy*, respectively. As a consequence of the proven *Segal conjecture* [3], stable cohomotopy has the exceptional property that  $\pi_S^{-*}(BG_+)$  vanishes for all  $* < 0$ . Here  $BG$  is the classifying space of an arbitrary finite group. In the Atiyah–Hirzebruch spectral sequence

$$E_{s,t}^2 = H^{-s}(BG; \pi_t(\mathbb{S})) \implies \pi_S^{-(s+t)}(BG_+)$$

there is a complicated differential interplay between group cohomology and the stable homotopy groups of spheres, making  $E_{s,t}^\infty = 0$  for  $s + t < 0$ . For  $G = \mathbb{Z}/p$  the Segal conjecture also provides a copy of  $\pi_*(\mathbb{S})_p^\wedge$  as a direct summand in the abutment, so each class  $x \in \pi_k(\mathbb{S})_p^\wedge$  is represented at  $E_{s,t}^\infty$  by some coset  $M(x) \subset \pi_t(\mathbb{S})_p^\wedge$ , with  $t \geq k$ , called the *Mahowald root invariant* of  $x$ . Empirically, when  $x$  is part of a periodic family in  $\pi_*(\mathbb{S})_p^\wedge$  detected by the  $n$ -th Morava  $K$ -theory  $K(n)$ , then  $M(x)$  is part of a family detected by the next Morava  $K$ -theory  $K(n+1)$  [9].

A map of sequential spectra  $f: E \rightarrow F$  is a sequence of based maps  $f_n: E_n \rightarrow F_n$  commuting with the structure maps. It induces a homomorphism  $f_*: \pi_*(E) \rightarrow \pi_*(F)$  of homotopy groups, and is called a *stable equivalence* if  $f_*$  is an isomorphism in each degree. The *stable homotopy category* is the category obtained from

the category of spectra by inverting the stable equivalences. Let  $\mathcal{S}p$  denote the category of sequential spectra, and let  $\mathcal{B}$  (for Boardman) denote its associated stable homotopy category. The sphere spectrum  $\mathbb{S}$  generates  $\mathcal{B}$  in the sense that for each spectrum  $E$  there exists a *cell spectrum*  $E^c$ , which has been assembled from integer suspensions of  $\mathbb{S}$  in the same way that a cell complex is built from non-negative suspensions of  $S^0$ , and a stable equivalence  $E^c \rightarrow E$ .

The smash product of two maps  $S^{k+m} \rightarrow S^m$  and  $S^{\ell+n} \rightarrow E_n$  composes with the iterated structure map  $S^m \wedge E_n \rightarrow E_{m+n}$  to produce a map  $S^{k+m+\ell+n} \rightarrow E_{m+n}$ . With some care, especially about the ordering of the various circle factors in these smash products, this rule induces a pairing  $\pi_k(\mathbb{S}) \otimes \pi_\ell(E) \rightarrow \pi_{k+\ell}(E)$ . In the case  $E = \mathbb{S}$  this product makes  $\pi_*(\mathbb{S})$  a graded commutative ring, and in general  $\pi_*(E)$  is a graded module over  $\pi_*(\mathbb{S})$ .

In the stable homotopy category  $\mathcal{B}$  there is a functorial *smash product*  $E \wedge F$  of spectra [1], well-defined up to stable equivalence, so that these commutative ring and module structures are realized by morphisms  $\mathbb{S} \wedge \mathbb{S} \rightarrow \mathbb{S}$  and  $\mathbb{S} \wedge E \rightarrow E$ . However, in the category  $\mathcal{S}p$  of sequential spectra there is no definition of a smash product  $E \wedge F$  such that the product on  $\mathbb{S}$  is commutative. It is at best associative, and sequential spectra  $E$  and  $F$  may be regarded as left (or right)  $\mathbb{S}$ -modules, but no natural  $\mathbb{S}$ -module structure remains on their smash product  $E \wedge F$ . The situation is reminiscent of that of modules over a non-commutative ring.

To overcome this defect, modern stable homotopy theory takes place in one of several possible modified categories  $\mathcal{S}p'$  of spectra, three of which are reviewed below. In each of these there is a smash product  $E \wedge F$  defined within the category of spectra, that is so well-behaved that the sphere spectrum  $\mathbb{S}$  admits a commutative product  $\mathbb{S} \wedge \mathbb{S} \rightarrow \mathbb{S}$  in  $\mathcal{S}p'$ . More precisely, the smash product is a symmetric monoidal pairing (= coherently unital, associative and commutative) with  $\mathbb{S}$  as the unit object. The spectra  $E, F$  are naturally modules over  $\mathbb{S}$  with this product, i.e.,  $\mathbb{S}$ -modules, and the smash product  $E \wedge F$  over  $\mathbb{S}$  of two  $\mathbb{S}$ -modules is again an  $\mathbb{S}$ -module, because  $\mathbb{S}$  is commutative. Furthermore, there is a notion of stable equivalence on  $\mathcal{S}p'$ , so chosen that the associated homotopy category is equivalent to  $\mathcal{B}$ .

This makes the sphere spectrum  $\mathbb{S}$  the initial ground “ring” for stable homotopy theory, much like the integers  $\mathbb{Z}$  is the initial ground ring for algebra. The categories of  $\mathbb{S}$ -modules, resp. associative or commutative  $\mathbb{S}$ -algebras, can be thought of as enriched versions of the categories of  $\mathbb{Z}$ -modules (= abelian groups), resp. associative or commutative  $\mathbb{Z}$ -algebras (= rings). This is a fruitful point of view for promoting ideas from algebra, algebraic geometry or number theory to the algebraic-topological context. The Eilenberg–Mac Lane functor embeds algebra into topology, and the enrichment amounts to a change of ground ring along the Hurewicz map  $h: \mathbb{S} \rightarrow \mathbb{Z}$ . The earlier theories of  $A_\infty$  and  $E_\infty$  ring spectra [11] provide many more examples of associative and commutative  $\mathbb{S}$ -algebras in topology, beyond those coming from algebra. These are therefore “brave new rings,” a term coined by Waldhausen.

Several modern reinterpretations  $\mathcal{S}p'$  of the category of spectra appeared shortly after 1994. The principal three are (a) the  $S$ -modules  $\mathcal{M}_S$  of Elmendorf, Kriz, Mandell and May [4], (b) the symmetric spectra  $\mathcal{S}p^\Sigma$  of Hovey, Shipley and Smith

[6], and (c) the  $\Gamma$ -spaces  $\Gamma\mathcal{S}_*$  of Segal [13] and Lydakis [8]. The essential equivalence of these and other approaches is discussed in [10] and [12].

(a) The *S-modules* of May et al. were introduced in [4]. To start, a *coordinate-free spectrum*  $E$  is a rule that assigns a based space  $EV$  to each finite-dimensional vector subspace  $V \subset \mathbb{R}^\infty$ , together with a compatible system of homeomorphisms  $EV \cong \Omega^{W-V}EW$  whenever  $V \subset W$ . Here  $W - V$  is the orthogonal complement of  $V$  in  $W$ ,  $S^{W-V}$  is its one-point compactification, and  $\Omega^{W-V}X = F(S^{W-V}, X)$  is the mapping space. The coordinate-free sphere spectrum  $S$  is the rule with  $SV = \operatorname{colim}_{V \subset W} \Omega^{W-V}S^W$ . Its 0th space  $S_0$  is also known as  $Q(S^0)$ .

An  $\mathbb{L}$ -spectrum is a coordinate-free spectrum equipped with a suitable action by the space  $\mathcal{L}(1)$  of linear isometries  $\mathbb{R}^\infty \rightarrow \mathbb{R}^\infty$ , which is part of the linear isometries operad  $\mathcal{L}$ . The sphere spectrum  $S$  is canonically an  $\mathbb{L}$ -spectrum, and there is an operadic smash product  $E \wedge_{\mathcal{L}} F$  of  $\mathbb{L}$ -spectra. Finally, the *S-modules* are the  $\mathbb{L}$ -spectra  $E$  such that a natural map  $\lambda: S \wedge_{\mathcal{L}} E \rightarrow E$  is an isomorphism. The sphere spectrum is an *S-module*, and the operadic smash product of  $\mathbb{L}$ -spectra  $E \wedge_{\mathcal{L}} F$  restricts to the desired smash product  $E \wedge F$  on the full subcategory of *S-modules*.

(b) The *symmetric spectra* of J. Smith et al. were introduced in [6]. First, a *symmetric sequence*  $E$  is a sequence of based simplicial sets  $E_n$  with an action by the symmetric group  $\Sigma_n$ , for each  $n \geq 0$ . The sphere symmetric sequence  $\mathbb{S}$  has the  $n$ -fold smash product  $S^n = S^1 \wedge \cdots \wedge S^1$  as its  $n$ -th space, with  $\Sigma_n$  permuting the factors. There is a symmetric monoidal pairing  $E \otimes F$  of symmetric sequences, so defined that a map  $E \otimes F \rightarrow G$  corresponds to a set of  $(\Sigma_m \times \Sigma_n)$ -equivariant maps  $E_m \wedge F_n \rightarrow G_{m+n}$ . Then  $\mathbb{S}$  is a commutative monoid with product  $\mathbb{S} \otimes \mathbb{S} \rightarrow \mathbb{S}$  corresponding to the equivariant isomorphisms  $S^m \wedge S^n \cong S^{m+n}$ .

A *symmetric spectrum*  $E$  is defined to be an  $\mathbb{S}$ -module in symmetric sequences, i.e., a symmetric sequence with a unital and associative action  $\mathbb{S} \otimes E \rightarrow E$ . Explicitly, the module action amounts to a set of  $(\Sigma_m \times \Sigma_n)$ -equivariant maps  $S^m \wedge E_n \rightarrow E_{m+n}$ . The sphere spectrum  $\mathbb{S}$  is then a symmetric spectrum, and the desired smash product  $E \wedge F$  of two symmetric spectra is defined as the coequalizer of two obvious maps  $E \otimes \mathbb{S} \otimes F \rightarrow E \otimes F$ . There is a notion of a stable equivalence  $f: E \rightarrow F$  of symmetric spectra, strictly more restrictive than asking that  $\pi_*(f)$  is an isomorphism, so that the associated homotopy category is equivalent to  $\mathcal{B}$ .

A variant of symmetric spectra, called *orthogonal spectra* [10], is obtained by replacing the symmetric group actions by orthogonal group actions. Then the  $\pi_*$ -isomorphisms are the correct weak equivalences to invert, in order to obtain a homotopy category equivalent to  $\mathcal{B}$ .

(c) Let  $\Gamma$  be the category of finite sets  $n_+ = \{0, 1, \dots, n\}$  based at 0, for  $n \geq 0$ , and base-point preserving functions. Segal [13] defined a  $\Gamma$ -space  $E$  to be a functor from  $\Gamma$  to based simplicial sets, such that  $E(0_+)$  is a point. Each  $\Gamma$ -space can be prolonged (degreewise) to an endofunctor of based simplicial sets, and there is an associated sequential spectrum with  $n$ -th space  $E(S^n)$ . Bousfield and Friedlander [2] show that the homotopy category of  $\Gamma$ -spaces under stable equivalences is equivalent to the stable homotopy category of connective spectra, i.e., spectra with  $\pi_k(E) = 0$  for  $k < 0$ .

The sphere  $\Gamma$ -space  $\mathbb{S}$  is the functor that takes  $n_+$  to itself, considered as a based simplicial set. Its prolongation is the identity endofunctor, and the associated

sequential spectrum is the sphere spectrum  $\mathbb{S}$ . The smash product  $E \wedge F$  of two  $\Gamma$ -spaces is defined so that a map  $E \wedge F \rightarrow G$  of  $\Gamma$ -spaces amounts to a natural transformation  $E(k_+) \wedge F(\ell_+) \rightarrow G(k_+ \wedge \ell_+)$ , for  $k_+$  and  $\ell_+$  in  $\Gamma$ . This defines a symmetric monoidal pairing on  $\Gamma$ -spaces, with the sphere as the unit object. Lydakis [8] realized that this categorical construction also has good homotopical properties, in particular that it really models the smash product of spectra.

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